



AFDO Boot Camp



Audit



- ▶ A systematic evaluation to determine if **programs** and related activities achieve planned expectations
- ▶ Reviewing / challenging:
 - ▶ Written programs
 - ▶ Documentation of activities - records
 - ▶ Corrective actions
 - ▶ Trends
- ▶ Helps determine correlation between documented procedures / activities and actual execution
- ▶ Can assist in identifying root cause and can lead to long term corrective action





Inspection



- ▶ An assessment of a “*moment in time*” which identifies
 - ▶ Positive or negative conditions
- ▶ An extensive
 - ▶ **physical inspection** of the facility/equipment
 - ▶ observation of practices
 - ▶ to collect information in order to determine compliance with plant programs and identify food safety issues
- ▶ Initiates immediate corrective action – if needed
- ▶ Often leads to an evaluation of programs and systems



Inspections and Audits:

Different Emphasis,
But Work Together



Audit *PLUS* Inspection=





Chat!

Do you think regulators are both or better at audits or inspections?

FY 18,19,20 Limited Scope (HF)

- ▶ Pest Control
- ▶ Personnel
- ▶ Manufacturing, Processing, Packing, Holding - Controls
- ▶ Sanitary Operations - Plant Maintenance
- ▶ Equipment and Utensils - design and maintenance



FY 18,19,20 Full Scope (HF)

- ▶ Hazard Analysis
- ▶ Food Safety Plan
- ▶ Preventive Controls
- ▶ Sanitation Controls Verification Procedures
- ▶ Sanitation Controls Monitoring Procedures





And now....

- ▶ FSVP
- ▶ Sanitary Operations
- ▶ Component of FSVP
- ▶ Pest Control
- ▶ Personne Practices





How to “Prepare” for a Regulatory Visit?

1. Be audit ready all the time
2. Conduct regular self inspection
3. Complete internal audits
4. Understand and perform Root Cause Analysis

The Lincoln Memorial



Problem:

Stone exterior was deteriorating

Treating the Symptom:

Replacing the deteriorated stone and painting over it





Chat!

Do you think the industry understands
Root Cause Analysis?



Consolidated Standards for Inspection

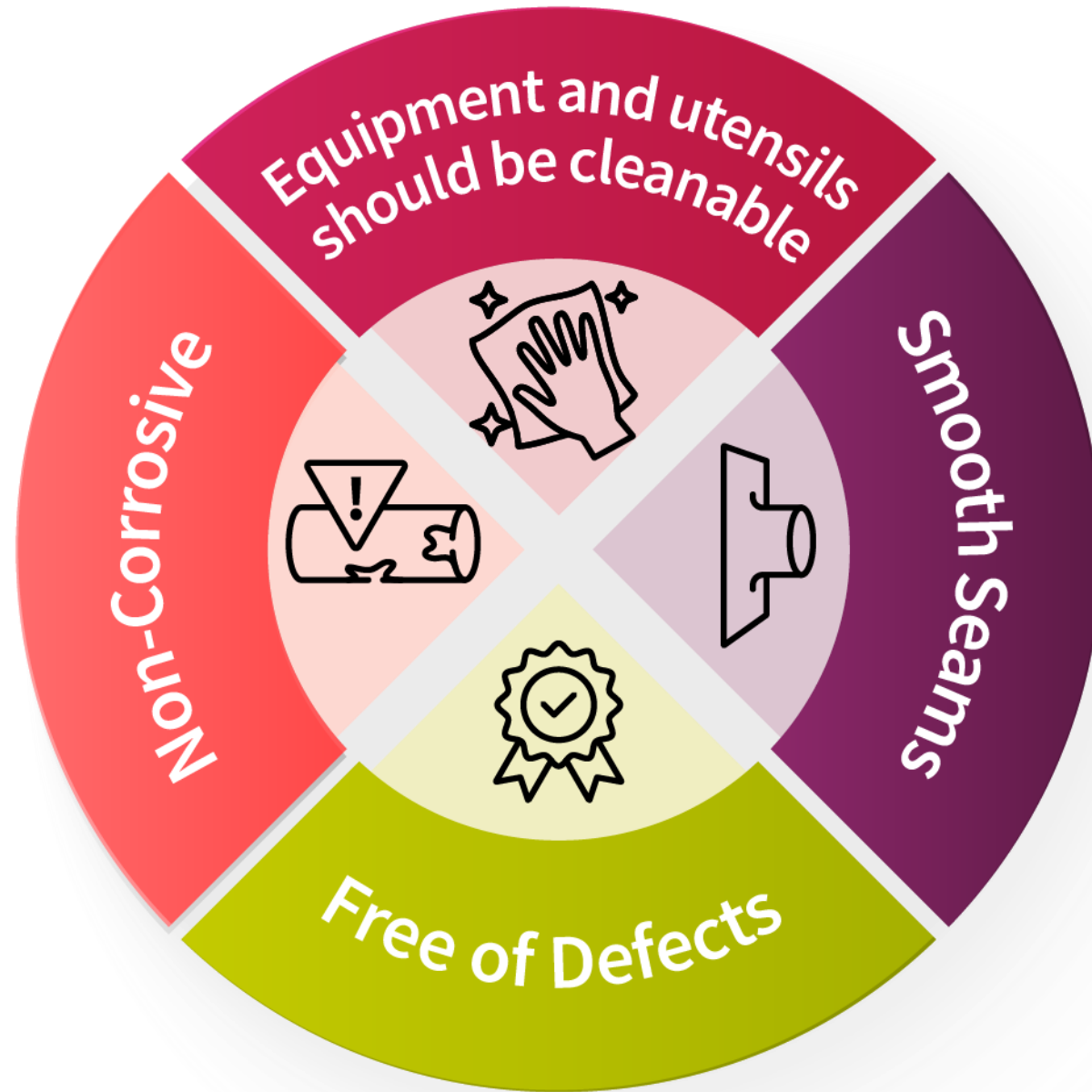
Prerequisite & Food Safety Programs



AIB Consolidated Standards for Inspection



Equipment and Utensils













Ceilings and Overheads

Look Up!!!





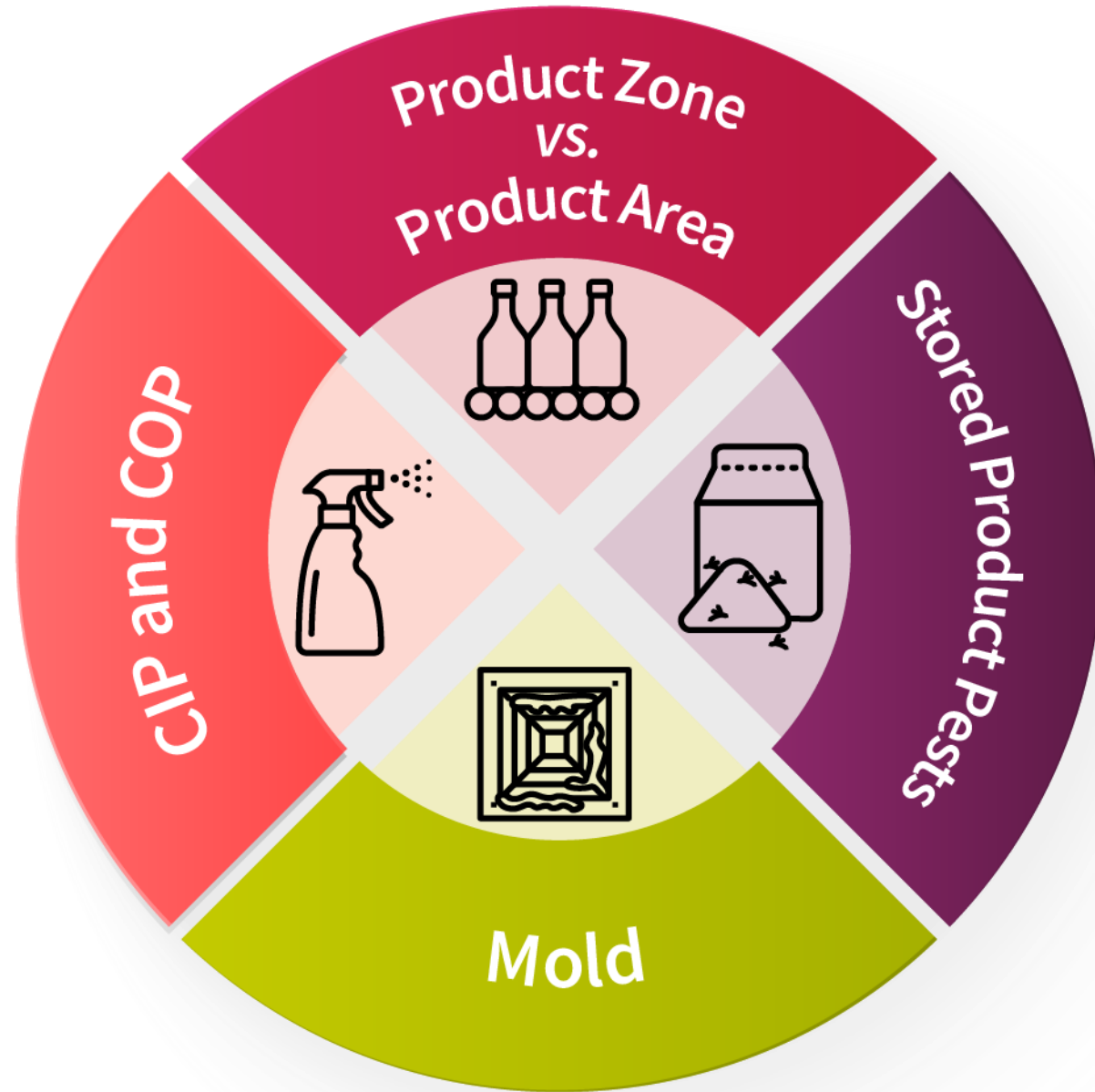




COPIES



Product Zone Cleaning

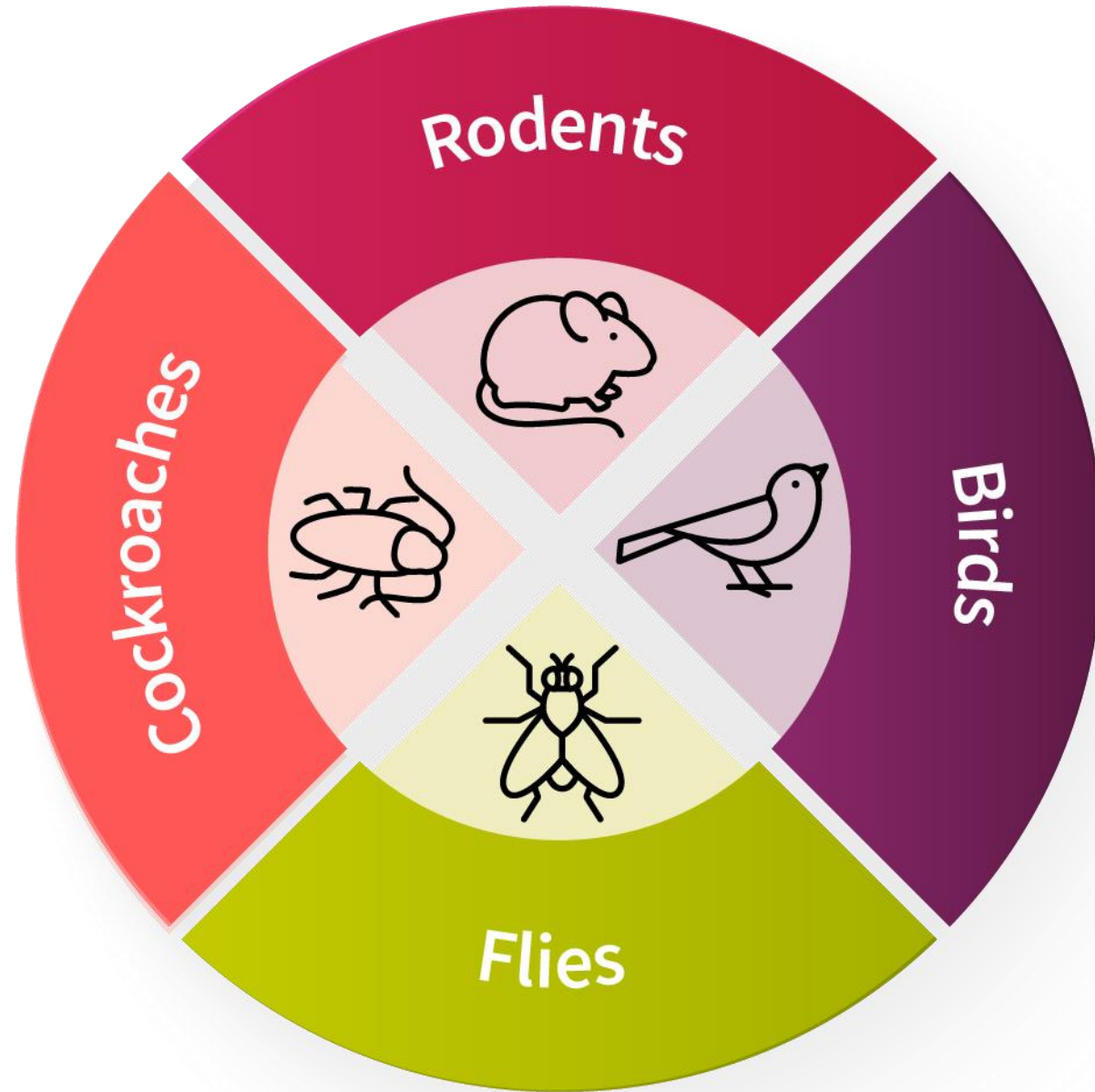








Pest Activity











Self Inspections





Make Sure...

- ▶ They are detailed (use lights)
- ▶ There is corrective action
- ▶ Root Cause Analysis
- ▶ Educate





Chat!

Are you surprised with findings?





The I.C.E. Method



Identify

Control

Eliminate



The I.C.E. Method

THE “I” IN I.C.E. = IDENTIFY

- ▶ Recognize the issue
- ▶ Communicate its importance
- ▶ Gain consensus on consequences



The I.C.E. Method

THE “C” IN I.C.E. = **CONTROL**

- ▶ Establish appropriate and timely control within the process environment to protect:
 - ▶ Product
 - ▶ Product contact surfaces



The I.C.E. Method

THE “E” IN I.C.E. = **ELIMINATE**

- ▶ Develop and implement reasonable and practical solutions
- ▶ Effectively address underlying causes/reasons





ICE

IDENTIFY

CONTROL

ELIMINATE







Door Gaps



Identify: Bad door seals

Control: Replace door seals?

Eliminate: Repair door



Summary

- ▶ Practice I.C.E. when inspecting
- ▶ ASK yourself “*What program issue or practice is allowing this inspection finding to occur*”
- ▶ Be a great auditor and inspector!





THANK YOU!

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Schedule a meeting
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