

FOOD SAFETY REGULATORY PROFESSIONAL CREDENTIAL SERIES

FFRC FOOD FOUNDATIONS REGULATOR CERTIFICATION STUDY GUIDE

231 competencies

Communication Skills (9 competencies)

Policy (3 competencies)

- 1. Discuss how policies guide communication practices.
- 2. Describe agency process for releasing information.
- 3. Give examples of proprietary information.

Situational Awareness (3 competencies)

- 1. Describe situational awareness.
- 2. Demonstrate methods for addressing challenging situations.
- 3. Identify cultural differences.

Skills (3 competencies)

- 1. Demonstrate oral communication skills.
- 2. Demonstrate written communication skills.
- 3. Recognize communication failure.

Emergency Response (24 competencies)

Government Role (4 competencies)

- 1. Describe emergency response approach.
- 2. Explain agency emergency procedures.
- 3. Determine which jurisdiction may be involved in an emergency scenario.
- 4. Describe inter-agency coordination.



Personal Safety (4 competencies)

- 1. Describe the concept of personal safety.
- 2. Perform situational awareness.
- 3. Discuss personal protective equipment.
- 4. Discuss emergency supplies.

Emergency Action Procedures (5 competencies)

- 1. Explain the concept of continuity of operations.
- 2. Perform under an emergency action plan.
- 3. Discuss communication capabilities.
- 4. Perform under an incident command system.
- 5. Identify stakeholders.

Field Response (11 competencies)

- 1. Discuss prioritization of impacted facilities.
- 2. Assess food safety.
- 3. Conduct inspections.
- 4. Conduct investigations.
- 5. Recommend corrective actions.
- 6. Discuss product disposition.
- 7. Identify enforcement actions.
- 8. Conduct follow-up inspections.
- 9. Conduct reporting activities.
- 10. Prepare feedback for hotwash.
- 11. Incorporate education during response.

Facility Design (27 competencies)

Regulatory (4 competencies)

- 1. Describe agency policy.
- 2. Identify other agency jurisdictions.
- 3. Operate under agency authority.
- 4. Give examples of required licenses/permits.

Plan Review (4 competencies)

- 1. Explain the purpose of plan review.
- 2. Identify facility design elements.
- 3. Relate regulatory requirements to facility design.
- 4. Develop a flow diagram.

Room and Area Constructions (3 competencies)

- 1. Describe facility design components related to room and/or area finishes.
- 2. Describe the characteristics of surface materials.
- 3. Discuss how activities impact room/area construction.

Equipment (6 competencies)

- 1. Recognize food processing equipment.
- 2. Recognize non-food processing equipment.
- 3. Recognize sanitation systems.
- 4. Explain the purpose of equipment spec sheets.
- 5. Give examples of filtration systems.
- 6. Describe product conveyance systems.

Water Source and Supply Systems (5 competencies)

- 1. Identify water sources.
- 2. Describe water system components.
- 3. Discuss cross-connections.
- 4. Give examples of methods to protect water.
- 5. Identify water treatments.

Wastewater (2 competencies)

- 1. Identify wastewater systems.
- 2. Identify methods to prevent contamination from wastewater.

Pest Management (3 competencies)

- 1. Articulate methods to prevent pest entry.
- 2. Identify harborage areas.
- 3. Discuss pest control methods.

Food Processes (15 competencies)

Foundations (7 competencies)

- 1. Explain why food products are processed.
- 2. Discuss how a hazard is controlled.
- 3. Discuss process controls.
- 4. Identify applicable regulations.
- 5. Recognize regulatory registration requirements.
- 6. Discuss the role of a process authority.
- 7. Give examples of required documents.

Types of Processes (4 competencies)

- 1. Give examples of processing methods.
- 2. Classify process based on risk.
- 3. Describe a process flow.
- 4. Match a product with the process.

Equipment (4 competencies)

- 1. Give examples of equipment.
- 2. Explain the importance of equipment design.
- 3. Relate process flow to facility design.
- 4. Match equipment to process.

Inspections (40 competencies)

Foundations (8 competencies)

- 1. Identify laws and regulations used to conduct an inspection.
- 2. Recognize agency policy and procedures.
- 3. Describe inspectional approaches.
- 4. Explain guidance documents.
- 5. Describe professional demeanor.
- 6. Describe the importance of situational awareness.
- 7. Give examples of how control measures mitigate risk.
- 8. Give examples of conflict management techniques.

Jurisdiction (3 competencies)

- 1. Confirm regulatory authority.
- 2. Differentiate between agency roles.
- 3. Collaborate with other agencies.

Inspection Tools (3 competencies)

- 1. Recognize inspection tools.
- 2. Discuss maintenance.
- 3. Discuss use.

Pre-Inspection (10 competencies)

- 1. Select the inspectional approach.
- 2. Identify the role of the regulator(s).
- 3. Utilize information technology.
- 4. Review previous inspection documents.
- 5. Review resources associated with the inspection.
- 6. Select equipment needed for the inspection.
- 7. Verify equipment functionality.
- 8. Review safety procedures.
- 9. Select inspection forms.
- 10. Select outreach material.

Inspection Process (13 competencies)

- 1. Demonstrate professional demeanor.
- 2. Demonstrate opening inspection activities.
- 3. Demonstrate interviewing techniques.
- 4. Determine inspection priorities.
- 5. Use critical thinking skills while performing an inspection.
- 6. Use observation skills.
- 7. Recognize personal safety hazards.
- 8. Collect evidence to support findings.
- 9. Discuss intervention strategies.
- 10. Utilize information technology.
- 11. Use resource materials.

- 12. Draft a report consistent with agency requirements.
- 13. Demonstrate an exit interview.

Compliance (3 competencies)

- 1. Identify compliance options.
- 2. Recommend compliance strategies.
- 3. Recommend compliance time frames.

Investigations (45 competencies)

Foundations (11 competencies)

- 1. Recognize triggers that lead to an investigation.
- 2. Identify laws and regulations used to conduct an investigation.
- 3. Recognize agency policy and procedures.
- 4. Explain guidance documents.
- 5. Demonstrate professional demeanor.
- 6. Distinguish between a high- and low-priority investigation.
- 7. Describe investigational approaches.
- 8. Describe the importance of situational awareness.
- 9. Give examples of how control measures mitigate risk.
- 10. Give examples of conflict management techniques.
- 11. Give examples of education and outreach.

Agency Collaboration (4 competencies)

- 1. Identify stakeholders involved in an investigation.
- 2. Describe the structure for an investigation.
- 3. Describe roles and responsibilities during an investigation.
- 4. Explain logistics for stakeholders working together.

Pre-Investigation (11 competencies)

- 1. Identify the role of the regulator(s).
- 2. Discuss the various disciplines for an investigation team.
- 3. Review documentation associated with the investigation.
- 4. Utilize information technology.
- 5. Review resources associated with the investigation.

- 6. Select the investigational approach.
- 7. Select equipment needed for the investigation.
- 8. Verify equipment functionality.
- 9. Review safety procedures.
- 10. Select forms.
- 11. Select outreach material.

Investigation (16 competencies)

- 1. Demonstrate professional demeanor.
- 2. Determine investigation priorities.
- 3. Recognize the responsibilities of investigation team members.
- 4. Demonstrate opening investigational activities.
- 5. Demonstrate interviewing techniques.
- 6. Use critical thinking skills while performing an investigation.
- 7. Use observation skills.
- 8. Recognize personal safety hazards.
- 9. Use equipment required for an investigation.
- 10. Determine the evidence needed for the investigation.
- 11. Collect evidence to support findings.
- 12. Discuss intervention strategies.
- 13. Utilize information technology.
- 14. Use resource materials.
- 15. Draft a report consistent with agency requirements.
- 16. Demonstrate an exit interview.

Post-Investigation (3 competencies)

- 1. Write the investigation report.
- 2. Observe an after-action meeting.
- 3. Identify compliance options.

Pest Control (18 competencies)

Types and Nature of Pests (5 competencies)

- 1. Explain life cycle of pests.
- 2. Determine if animals are pests.
- 3. Explain conditions where pests thrive.
- 4. Explain when pests are vectors.
- 5. Explain the significance of pests.

Public Health Significance (2 competencies)

- 1. Articulate the public health impact.
- 2. Explain disease transmission.

Causes (3 competencies)

- 1. Explain perimeter conditions.
- 2. Explain conditions for survival.
- 3. Determine entry points.

Pest Management (5 competencies)

- 1. Explain Integrated Pest Management.
- 2. Review pest control plan.
- 3. Identify regulatory requirements.
- 4. Inspect chemical storage.
- 5. Collect evidence.

Control Methods (3 competencies)

- 1. Explain control strategies.
- 2. Evaluate physical control methods.
- 3. Evaluate chemical control methods.

Plumbing (22 competencies)

Regulatory Requirements (4 competencies)

- 1. Articulate agency's jurisdictional authority.
- 2. Explain the public health significance of plumbing system failures.
- 3. Explain permit requirements.
- 4. Review plumbing system.

Plumbing Systems (5 competencies)

- 1. Identify water source.
- 2. Determine wastewater system type.
- 3. Examine plumbing schematics.
- 4. Appraise water capacity.
- 5. Survey water system.

Plumbing Fixtures (4 competencies)

- 1. Explain the public health principles associated with plumbing fixtures.
- 2. Explain the use of plumbing equipment used in a food facility.
- 3. Determine what plumbing equipment is needed in a food facility.
- 4. Analyze the use of plumbing fixtures used in a food facility.

Water Quality and Treatment (4 competencies)

- 1. Identify sources of contamination.
- 2. Describe water treatment systems.
- 3. Describe wastewater treatment systems.
- 4. Discuss potable water sampling.

Cross-Connections and Controls (5 competencies)

- 1. Identify cross-connections.
- 2. Determine if backflow prevention device is approved.
- 3. Distinguish between testable and non-testable mechanical backflow devices.
- 4. Assess backflow conditions.
- 5. Determine whether backflow prevention device meets conditions of cross-connection.

Recall/Traceability (13 competencies)

Foundations (7 competencies)

- 1. Recognize factors that warrant traceability.
- 2. Recognize factors that warrant a recall.
- 3. Recognize recall classification.
- 4. Describe response activities based on recall classification.

5. Distinguish between the roles/responsibilities of regulatory authorities and the industry during a recall.

6. Distinguish between the roles/responsibilities of regulatory authorities and the industry during traceability.

7. Identify the location of recall notices.

Recall/Traceability Process (6 competencies)

- 1. Describe the agency process.
- 2. Perform a traceability activity.
- 3. Recognize scope of market distribution of human/animal food product.
- 4. Recognize time frames associated with human/animal food.
- 5. Perform a recall effectiveness check.
- 6. Confirm product disposition.

Sampling (18 competencies)

Foundations (6 competencies)

- 1. Determine sample collection authority.
- 2. Recognize agency policies and procedures.
- 3. Identify sample types.
- 4. Identify sampling kit components.
- 5. Explain chain of custody.
- 6. Recognize laboratory capabilities.

Sampling Methodology (4 competencies)

- 1. Discuss sample assignment.
- 2. Recognize sampling requirements.
- 3. Explain sample collection methods.
- 4. Distinguish between random and selective sampling.

Procedures (8 competencies)

- 1. Select type of sample for collection.
- 2. Schedule sample collection with the laboratory.
- 3. Determine time-related factors related to sampling.
- 4. Select equipment to use when collecting samples.
- 5. Demonstrate sampling techniques.
- 6. Employ chain of custody.
- 7. Complete documentation.
- 8. Demonstrate procedures for sample transport.